

Exhibit C

UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America)

v.)

Ross McLellan)

Case No. 16-cr-10094

Defendant)

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR
OBJECTS IN A CRIMINAL CASE

To: State Street Bank and Trust

(Name of person to whom this subpoena is directed)

YOU ARE COMMANDED to produce at the time, date, and place set forth below the following books, papers, documents, data, or other objects:

See "Attachment A."

Place: Clerk's Office United States District Court, District of Massachusetts One Courthouse Way, Boston MA, 02210	Date and Time:
--	----------------

Certain provisions of Fed. R. Crim. P. 17 are attached, including Rule 17(c)(2), relating to your ability to file a motion to quash or modify the subpoena; Rule 17(d) and (e), which govern service of subpoenas; and Rule 17(g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

(SEAL)

Date: _____

CLERK OF COURT

Signature of Clerk or Deputy Clerk

The name, address, e-mail, and telephone number of the attorney representing (name of party) _____
Ross McLellan _____, who requests this subpoena, are:

Martin G. Weinberg, Esq.
20 Park Plaza, Suite 1000, Boston, MA 02116, owlmc@att.net, (617) 227-3700

Notice to those who use this form to request a subpoena

Before requesting and serving a subpoena pursuant to Fed. R. Crim. P. 17(c), the party seeking the subpoena is advised to consult the rules of practice of the court in which the criminal proceeding is pending to determine whether any local rules or orders establish requirements in connection with the issuance of such a subpoena. If no local rules or orders govern practice under Rule 17(c), counsel should ask the assigned judge whether the court regulates practice under Rule 17(c) to 1) require prior judicial approval for the issuance of the subpoena, either on notice or ex parte; 2) specify where the documents must be returned (e.g., to the court clerk, the chambers of the assigned judge, or counsel's office); and 3) require that counsel who receives produced documents provide them to opposing counsel absent a disclosure obligation under Fed. R. Crim. P. 16.

Please note that Rule 17(c) (attached) provides that a subpoena for the production of certain information about a victim may not be issued unless first approved by separate court order.

Case No. 16-cr-10094

PROOF OF SERVICE

This subpoena for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

☐ I served the subpoena by delivering a copy to the named person as follows: _____

_____ on *(date)* _____; or

☐ I returned the subpoena unexecuted because: _____

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
tendered to the witness fees for one day's attendance, and the mileage allowed by law, in the amount of

\$ _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc.:

Federal Rule of Criminal Procedure 17 (c), (d), (e), and (g) (Effective 12/1/08)

(c) Producing Documents and Objects.

(1) In General. A subpoena may order the witness to produce any books, papers, documents, data, or other objects the subpoena designates. The court may direct the witness to produce the designated items in court before trial or before they are to be offered in evidence. When the items arrive, the court may permit the parties and their attorneys to inspect all or part of them.

(2) Quashing or Modifying the Subpoena. On motion made promptly, the court may quash or modify the subpoena if compliance would be unreasonable or oppressive.

(3) Subpoena for Personal or Confidential Information About a Victim. After a complaint, indictment, or information is filed, a subpoena requiring the production of personal or confidential information about a victim may be served on a third party only by court order. Before entering the order and unless there are exceptional circumstances, the court must require giving notice to the victim so that the victim can move to quash or modify the subpoena or otherwise object.

(d) Service. A marshal, a deputy marshal, or any nonparty who is at least 18 years old may serve a subpoena. The server must deliver a copy of the subpoena to the witness and must tender to the witness one day's witness-attendance fee and the legal mileage allowance. The server need not tender the attendance fee or mileage allowance when the United States, a federal officer, or a federal agency has requested the subpoena.

(e) Place of Service.

(1) In the United States. A subpoena requiring a witness to attend a hearing or trial may be served at any place within the United States.

(2) In a Foreign Country. If the witness is in a foreign country, 28 U.S.C. § 1783 governs the subpoena's service.

(g) Contempt. The court (other than a magistrate judge) may hold in contempt a witness who, without adequate excuse, disobeys a subpoena issued by a federal court in that district. A magistrate judge may hold in contempt a witness who, without adequate excuse, disobeys a subpoena issued by that magistrate judge as provided in 28 U.S.C. § 636(e).

ATTACHMENT A

Unredacted e-mails and documents listed below:

o.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	12/6/2011 8:38:31 PM	Bonn, Nick	Smit, Stephen C.; Ringrow, Rodney J.; Woodard, R. Bryan*	Phelan, David C.*; Bailey, Marshall	Attorney-Client	Email requesting legal advice from R. Woodard* and D. Phelan* regarding transition management and client remuneration
	12/6/2011 9:00:17 PM	Woodard, R. Bryan*	Bonn, Nick; Smit, Stephen C.; Ringrow, Rodney J.	Phelan, David C.*; Bailey, Marshall	Attorney-Client	Email chain requesting and rendering legal advice of R. Woodard* and D. Phelan* regarding transition management and client remuneration
	12/7/2011 2:19:21 AM	Ringrow, Rodney J.	Bonn, Nick; Smit, Stephen C.; Woodard, R. Bryan*	Phelan, David C.*; Bailey, Marshall	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from R. Woodard* and D. Phelan* regarding transition management and client communication
	12/6/2011 11:10:51 PM	Bonn, Nick	Ringrow, Rodney J.	Smit, Stephen C.	Attorney-Client	Email chain reflecting request for legal advice from R. Woodard* and D. Phelan* regarding transition management and client remuneration
	12/1/2011 7:40:49 PM	Leaden, Mark	Woodard, R. Bryan*; Newell, Kim; Waller, Cassie; Smith, Stephen J; Norris, Jonathan*; Scully, Anthony	Scott, Iain; Bailey, Marshall; Boomgaardt, Rick; Bonn, Nick	Attorney-Client	Email chain requesting and rendering legal advice of R. Woodard* and J. Norris* regarding internal fee analysis review and client remuneration
	12/23/2011 11:40:55 AM	Coulter, Cuan F.	Scott, Iain; Oliver, Robin; Bonn, Nick; Phelan, David C.*; Smit, Stephen C.;	Buonopane, Jennifer L.; MacDonald, Wendy; Johansen, Harry G.	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from D. Phelan* and R. Woodard* regarding transition

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Woodard, R. Bryan*; Bailey, Marshall; Newell, Kim; Carlin, Chris; Scully, Anthony; Hansen, Mark R.			management fee review and controls and anticipation of litigation
	12/23/2011 6:37:00 AM				Attorney-Client; Work-Product	Attached notes rendering legal advice of outside counsel regarding transition management fee review and controls and anticipation of litigation
	12/2/2011 12:49:45 PM	Hansen, Mark R.	Bonn, Nick		Attorney-Client	Email chain discussing legal advice of Woodward* and J. Norris* regarding internal analysis review and client remuneration
	10/7/2011 1:18:12 AM	O'Neill, Peter	Puth, David W.; Bonn, Nick; Woodard, R. Bryan*	Balogh, Justin; Hansen, Mark R.	Attorney-Client	Email requesting legal advice from R. Woodward* regarding response to press coverage
	11/9/2011 9:24:04 PM	Newell, Kim	Smith, Wendy W.; Woodard, R. Bryan*	Bailey, Marshall; Bonn, Nick; Davidson, Lucy; Curran, Alicia A.; Cichon, Carolyn; Smith, Stephen J; Oliver, Robin; Hansen, Mark R.; Phelan, David C.*	Attorney-Client	Email requesting legal advice from R. Woodward* and D. Phelan* regarding client communication

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/9/2011 9:49:00 AM				Attorney-Client	Attached draft document providing information for the purpose of obtaining legal advice from R. Woodard* and D. Phelan* regarding communication
	11/9/2011 9:24:05 PM				Attorney-Client	Attached draft talking points providing information for the purpose of obtaining advice from R. Woodard* and D. Phelan* regarding client communication
	11/9/2011 9:24:05 PM				Attorney-Client	Attached draft letter providing information for the purpose of obtaining legal advice from Woodard* and D. Phelan* regarding client communication
	11/10/2011 2:22:12 PM	Curran, Alicia A.	Newell, Kim; Smith, Wendy W.; Woodard, R. Bryan*	Bailey, Marshall; Bonn, Nick; Davidson, Lucy; Cichon, Carolyn; Smith, Stephen J.; Oliver, Robin; Hansen, Mark R.; Phelan, David C.*	Attorney-Client; Work-Product	Email chain discussing legal advice from Woodard* regarding communications in anticipation of litigation
	12/15/2011 12:25:02 PM	Bonn, Nick	Murphy, Christopher G; Carlin, Chris; Barnard, James	Mohan, Aditya; Jensen, Christopher P.; Smit, Stephen C.; Woodard, R. Bryan*; Phelan,	Attorney-Client	Email chain requesting legal advice from Woodard* and D. Phelan* regarding accrual

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				David C.*		
	12/27/2011 3:43:38 PM	Newell, Kim	Bonn, Nick; Carlin, Chris		Attorney-Client	Email chain discussing legal advice of R. Woodard*, J. Norris*, and D. Phelan* regarding transition management fees review and client communications
	12/29/2011 2:53:05 PM	Woodard, R. Bryan*	Carlin, Chris	Newell, Kim; Bonn, Nick	Attorney-Client; Work-Product	Email chain requesting information for the purpose of rendering legal advice of R. Woodard* regarding PwC fee analysis review and in anticipation of litigation
	12/28/2011 9:47:00 PM				Attorney-Client; Work-Product	Attached draft report reflecting legal advice of R. Woodard* regarding PwC fee analysis review and in anticipation of litigation
	11/22/2011 4:01:20 PM	Newell, Kim	Waller, Cassie; Bonn, Nick	Smit, Stephen C.; Boomgaardt, Rick; Bailey, Marshall	Attorney-Client; Work-Product	Email chain discussing legal advice of D. Phelan* and R. Woodard* regarding client communication and in anticipation of litigation
	11/18/2011 10:36:53 PM	Spyropoulos, Gregory J.	Bryant, Tom; Choudhary, Adnan	Boomgaardt, Rick; Carlin, Chris; Bonn, Nick; Clemmenson, Thomas; Holden, Ian N.; Woodard, R. Bryan*; Miller, Kevin A.	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from R. Woodard* and outside counsel regarding transitions

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/18/2011 10:21:30 PM				Attorney-Client	Attached spreadsheet providing information for the purpose of obtaining legal advice from Woodward* and outside counsel regarding transitions
	11/18/2011 10:31:28 PM				Attorney-Client	Attached spreadsheet reflecting request for information for the purpose of rendering advice of R. Woodward* and outside counsel regarding KLA transitions
	11/17/2011 4:30:04 AM	Woodard, R. Bryan*	Bryant, Tom	Carlin, Chris; Bonn, Nick	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of Woodward* regarding client communications
	11/22/2011 3:25:50 PM	Orton, Simon*	Woodard, R. Bryan*; Spyropoulos, Gregory J.; Bryant, Tom	Bonn, Nick; Miller, Kevin A.; Norris, Jonathan*	Attorney-Client	Email chain requesting and rendering legal advice of R. Woodward*, J. Norris* and outside counsel regarding KLA transition
	11/9/2011 9:14:33 PM	Newell, Kim	Bonn, Nick; Carlin, Chris		Attorney-Client; Work-Product	Email chain reflecting legal advice of D. Phelan* regarding client communications in anticipation of litigation
	11/9/2011 8:11:58 PM	Carlin, Chris	Bonn, Nick; Newell, Kim		Attorney-Client	Email discussing legal advice of D. Phelan* and R. Woodward* regarding client communication
	11/25/2011 6:56:09 PM	Bonn, Nick	Carlin, Chris		Attorney-Client	Email chain discussing legal advice of D. Phelan* regarding internal fee analysis
	12/6/2011 12:08:58 PM	Bonn, Nick	Woodard, R. Bryan*	Carlin, Chris	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from R. Woodward*

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						Woodard* regarding client remuneration
	11/25/2011 7:58:34 PM	Carlin, Chris	Bonn, Nick		Attorney-Client	Email chain discussing legal advice of D. Woodard* regarding internal fee analysis of R. Woodard* regarding client remuneration
	11/11/2011 10:08:44 PM	Woodard, R. Bryan*	Smit, Stephen C.	Bonn, Nick; Bailey, Marshall; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*; Norris, Jonathan*; McKay, Melissa A.*	Attorney-Client; Work-Product	Email rendering legal advice of R. Woodard* regarding client communication and in anticipation of litigation
	11/11/2011 4:26:00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of R. Woodard* regarding client communication and in anticipation of litigation
	11/11/2011 4:35:00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of R. Woodard* regarding client communication and in anticipation of litigation
	11/11/2011 4:27:00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of R. Woodard* regarding client communication and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						and in anticipation of litigation
	10/30/2011 9:41:59 AM	Balogh, Justin	Woodard, R. Bryan*	Bonn, Nick	Attorney-Client	Email requesting legal advice from R. Woodard* regarding communications with consultants
	10/30/2011 6:40:00 PM				Attorney-Client	Attached document providing information for the purpose of obtaining legal advice of Woodard* regarding communications with consultants
	11/17/2011 10:44:22 AM	Phelan, David C.*	Oliver, Robin; Coulter, Cuan F.; Carp, Jeffrey*; Bonn, Nick; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; MacDonald, Wendy	Orton, Simon*; Woodard, R. Bryan*; Norris, Jonathan*	Attorney-Client; Work-Product	Email requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. Norris* and outside counsel regarding regulatory investigation and in anticipation of litigation
	11/17/2011 10:44:21 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. Norris* and outside counsel regarding regulatory investigation and in anticipation of litigation
	11/18/2011 4:25:20 PM	Phelan, David C.*	Carp, Jeffrey*; Antonellis, Joseph C.; Smit, Stephen C.; Bonn, Nick; Coulter,		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan* regarding regulatory investigation and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Cuan F.; Oliver, Robin; Woodward, R. Bryan*; Bailey, Marshall; Newell, Kim; Norris, Jonathan*; MacDonald, Wendy			
	11/22/2011 12:09:19 AM	Woodard, R. Bryan*	Bonn, Nick; Miller, Kevin A.		Attorney-Client; Work-Product	Email chain reflecting legal advice of R. Woodard* regarding KLA transition and anticipation of litigation
	12/5/2011 4:16:28 PM	Smit, Stephen C.	Bonn, Nick		Attorney-Client	Email chain discussing legal advice of J. Norris* regarding internal fee analysis and client remuneration
	12/19/2011 12:56:10 PM	Woodard, R. Bryan*	Newell, Kim; Bonn, Nick		Attorney-Client	Email chain requesting and rendering legal advice of J. Norris* and R. Woodard* regarding client transition management
	10/31/2011 10:28:36 PM	Woodard, R. Bryan*	Newell, Kim; Bonn, Nick		Attorney-Client	Email reflecting legal advice of R. Woodard* regarding transition management review
	10/31/2011 5:42:00 PM				Attorney-Client	Attached daily update providing information for the purpose of obtaining legal advice from R. Woodard* regarding transition management review
	12/8/2011 12:16:18 PM	Smit, Stephen C.	Hamilton, Ian; Martin, Christopher M	Raynes, Susan; Bailey, Marshall; Boomgaardt,	Attorney-Client	Email chain requesting legal advice from Norris* regarding transition management

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Rick; Bonn, Nick; Norris, Jonathan*		review
	11/16/2011 8:21:49 PM	Smit, Stephen C.	Antonellis, Joseph C.; Phelan, David C.*	Bonn, Nick; Bailey, Marshall; Ringrow, Rodney J.	Attorney-Client	Email requesting legal advice from D. Phelan* regarding client communication
	12/14/2011 2:25:12 PM	Phelan, David C.*	Smit, Stephen C.; Bonn, Nick		Attorney-Client	Email requesting information for the purpose of rendering legal advice of D. Phelan* regarding transition management review
	12/4/2011 8:07:06 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Antonellis, Joseph C.; Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Attorney-Client	Email requesting information for the purpose of rendering legal advice of D. Phelan* regarding client remuneration
	12/4/2011 8:07:06 PM				Attorney-Client	Attached spreadsheet reflecting request for information for the purpose of rendering legal advice of Legal Department regarding client remuneration
	11/16/2011 11:26:51 PM	Phelan, David C.*	Antonellis, Joseph C.; Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.; Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*; Orton, Simon*		Attorney-Client; Work-Product	Email requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. N. Norris*, and outside counsel regarding client communication and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/16/2011 11:26:49 PM				Attorney-Client; Work-Product	Attached draft notes requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodward*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/16/2011 11:22:31 PM				Attorney-Client; Work-Product	Attached draft chronology requesting and rendering legal advice of D. Phelan* J. Woodward*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/16/2011 11:22:31 PM				Attorney-Client; Work-Product	Attached draft talking points requesting and rendering legal advice of D. Phelan* J. Woodward*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/16/2011 11:22:31 PM				Attorney-Client; Work-Product	Attached draft talking points requesting and rendering legal advice of D. Phelan* J. Woodward*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/17/2011 7:10:22 PM	Smit, Stephen C.	Hooley, Joseph; Antonellis, Joseph C.	Phelan, David C.*; Bonn, Nick	Attorney-Client; Work-Product	Email providing information for the purpose of obtaining legal advice from D. Phelan* regarding client communication and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/2/2011 10:24:06 AM	Davidson, Lucy	Bonn, Nick; Newell, Kim; Smit, Stephen C.; Bailey, Marshall; Cichon, Carolyn; Grove, Hannah M.; Muncer, Andrew; Curran, Alicia A.; Smith, Wendy W.; Woodward, R. Bryan*; Phelan, David G.		Attorney-Client	Email requesting legal advice from R. Woodward* and D. Phelan* regarding review to press coverage
	11/25/2011 6:05:41 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Rogers, Mike; Carp, Jeffrey*; Woodward, R. Bryan*	Attorney-Client; Work-Product	Email rendering legal advice of D. Phelan regarding internal fee analysis review and anticipation of litigation
	12/19/2011 12:47:42 AM	Khot, Dharmaraj	Woodard, R. Bryan*	Phelan, David C.*; Norris, Jonathan*; Newell, Kim; Bovardi, Keith A.; McKay, Melissa A.*; Oswald, Matthew; Bailey, Marshall; Bonn, Nick; Orton, Simon*	Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodward* regarding transition management review procedures and in anticipation of litigation
	12/19/2011 12:38:24 AM	Woodard, R. Bryan*	Khot, Dharmaraj	Phelan, David C.*; Norris, Jonathan*; Newell, Kim; Bovardi, Keith A.; McKay, Melissa A.*; Oswald,	Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodward* regarding transition management review procedures and in anticipation of litigation

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o.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Matthew; Bailey, Marshall; Bonn, Nick; Orton, Simon*		
	12/18/2011 5:30:41 PM	Khot, Dharmaraj	Woodard, R. Bryan*; Bovardi, Keith A.; Oswald, Matthew	Norris, Jonathan*; Orton, Simon*; Newell, Kim; Bailey, Marshall; Phelan, David C.*; Bonn, Nick; McKay, Melissa A.*	Attorney-Client; Work-Product	Email chain discussing legal advice of Woodward* regarding transition management review procedures and in anticipation of litigation
	12/19/2011 12:36:17 AM	Khot, Dharmaraj	Woodard, R. Bryan*	Phelan, David C.*; Norris, Jonathan*; Newell, Kim; Bovardi, Keith A.; McKay, Melissa A.*; Oswald, Matthew; Bailey, Marshall; Bonn, Nick; Orton, Simon*	Attorney-Client; Work-Product	Email chain discussing legal advice of Woodward* regarding transition management review procedures and in anticipation of litigation
	11/23/2011 4:41:40 PM	Phelan, David C.*	Bonn, Nick	Rogers, Mike; Carp, Jeffrey*	Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of D. Phelan* regarding employee disciplinary process and regulatory investigation and in anticipation of litigation
	11/23/2011 4:41:40 PM				Attorney-Client; Work-Product	Attached draft notes rendering legal advice of D. Phelan* regarding employee disciplinary process and regulatory investigation and

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/12/2011 4:46:27 PM	Bailey, Marshall	Woodard, R. Bryan*	Bonn, Nick; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*; Norris, Jonathan*; McKay, Melissa A.*; Smit, Stephen C.	Attorney-Client; Work-Product	anticipation of litigation Email chain reflecting legal advice of R. Woodard* regarding client communication in anticipation of litigation
	11/21/2011 10:54:05 PM	Woodard, R. Bryan*	Newell, Kim; Grove, Hannah M.; Cichon, Carolyn; Curran, Alicia A.; Muncer, Andrew; Davidson, Lucy; Smit, Stephen C.; Bonn, Nick; Phelan, David C.*; Smith, Wendy W.; Orton, Simon*		Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of R. Woodard*, D. Phelan* and counsel regarding client communication in anticipation of litigation
	11/22/2011 1:01:31 PM	Phelan, David C.*	Newell, Kim; Woodard, R. Bryan*; Grove, Hannah M.; Cichon, Carolyn; Curran, Alicia A.; Muncer, Andrew; Davidson, Lucy; Smit, Stephen C.; Bonn, Nick; Smith, Wendy W.; Orton, Simon*		Attorney-Client; Work-Product	Email chain reflecting legal advice of D. Phelan* regarding client communication in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/21/2011 10:13:59 PM	Newell, Kim	Woodard, R. Bryan*; Grove, Hannah M.; Cichon, Carolyn; Curran, Alicia A.; Muncer, Andrew; Davidson, Lucy; Smit, Stephen C.; Bonn, Nick; Phelan, David C.*; Smith, Wendy W.; Orton, Simon*		Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of R. Woodard*, D. Phelan* and counsel regarding client communication in anticipation of litigation
	12/18/2011 4:35:10 PM	Smit, Stephen C.	Phelan, David C.*	Bonn, Nick	Attorney-Client; Work-Product	Email chain discussing legal advice of D. Phelan* regarding regulatory investigation in anticipation of litigation
	12/18/2011 4:52:04 PM	Phelan, David C.*	Smit, Stephen C.	Bonn, Nick	Attorney-Client; Work-Product	Email chain rendering legal advice of D. Phelan* regarding regulatory investigation in anticipation of litigation
	11/13/2011 6:22:47 PM	Woodard, R. Bryan*	Smit, Stephen C.; Orton, Simon*; Norris, Jonathan*; Phelan, David C.*; Bailey, Marshall; Bonn, Nick		Attorney-Client; Work-Product	Email chain reflecting request for information for the purpose of rendering legal advice of R. Woodard*, J. Norris*, D. Phelan* and counsel regarding client communication in anticipation of litigation
	11/13/2011 11:16:33 AM	Smit, Stephen C.	Woodard, R. Bryan*; Orton, Simon*; Norris, Jonathan*; Phelan, David C.*; Bailey, Marshall;		Attorney-Client; Work-Product	Email chain requesting legal advice from R. Woodard*, J. Norris*, D. Phelan*, and counsel regarding client communication in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Bonn, Nick			
	12/28/2011 12:38:43 PM	Phelan, David C.*	Smit, Stephen C.; Newell, Kim; Boomgaardt, Rick; Woodward, R. Bryan*; Bonn, Nick; Bailey, Marshall	Norris, Jonathan*	Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of D. Phelan* and J. Norris* regarding attached draft letter and transition management fee review and in anticipation of litigation
	12/28/2011 12:38:43 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of D. Phelan* and J. Norris* regarding transition management fee review and in anticipation of litigation
	12/27/2011 11:10:25 PM	Newell, Kim	Norris, Jonathan*; Smit, Stephen C.; Boomgaardt, Rick	Woodard, R. Bryan*; Bonn, Nick; Bailey, Marshall; Phelan, David C.*	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from J. Norris*, R. Woodard*, and D. Phelan* regarding client communication and in anticipation of litigation
	12/23/2011 6:36:37 PM	Norris, Jonathan*	Newell, Kim; Smit, Stephen C.; Boomgaardt, Rick	Woodard, R. Bryan*; Bonn, Nick; Bailey, Marshall; Phelan, David C.*; Norris, Jonathan*	Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of J. Norris* and D. Phelan* regarding transition management fee review and in anticipation of litigation
	12/23/2011 5:49:34 PM				Attorney-Client; Work-Product	Attached spreadsheet providing information for the purpose of obtaining legal advice from the Legal Department regarding transition management fee review and in anticipation of litigation

enotes Attorney Name

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	12/23/2011 6:26:03 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of R. Woodard* regarding transition management fee review and in anticipation of litigation
	12/7/2011 12:45:22 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding transition management and in anticipation of litigation
	12/7/2011 12:58:34 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding transition management and in anticipation of litigation
	12/7/2011 2:15:33 AM	Ringrow, Rodney J.	Norris, Jonathan*; Smit, Stephen C.; Bonn, Nick	Woodard, R. Bryan*; Bailey, Marshall	Attorney-Client; Work-Product	Email chain discussing legal advice of J. Norris* and R. Woodard* regarding transition management and client communication in anticipation of litigation
	12/7/2011 6:51:40 AM	Ringrow, Rodney J.	Norris, Jonathan*; Smit, Stephen C.; Bonn, Nick	Woodard, R. Bryan*; Bailey, Marshall	Attorney-Client; Work-Product	Email chain requesting legal advice of J. Norris* and R. Woodard* regarding transition management and client communication in anticipation of litigation
	11/17/2011 10:47:35 AM	Phelan, David C.*	Antonellis, Joseph C.; Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.;		Attorney-Client; Work-Product	Email requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. N. and outside counsel regarding client communication and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*; Orton, Simon*; MacDonald, Wendy			
	11/17/2011 10:46:57 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelan*, J. Woodard*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/17/2011 10:46:57 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelan*, J. Woodard*, J. Norris* and outside counsel regarding client communication and in anticipation of litigation
	11/20/2011 7:12:29 PM	Smit, Stephen C.	Antonellis, Joseph C.; Phelan, David C.*	Bonn, Nick; Bailey, Marshall; Newell, Kim; Ringrow, Rodney J.	Attorney-Client; Work-Product	Email requesting legal advice from D. Phelan* regarding client communication and in anticipation of litigation
	11/17/2011 1:21:24 AM	Antonellis, Joseph C.	Phelan, David C.*	Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.; Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*;	Attorney-Client; Work-Product	Email requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. N. and outside counsel regarding client communication and in anticipation of litigation

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o.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Orton, Simon*; Rogers, Mike		
	11/9/2011 8:19:10 PM	Woodard, R. Bryan*	Phelan, David C.*; Bonn, Nick	Smit, Stephen C.	Attorney-Client; Work-Product	Email reflecting legal advice of R. Woodard and D. Phelan* regarding client communication and in anticipation of litigation
	11/9/2011 7:50:25 PM	Phelan, David C.*	Bonn, Nick	Smit, Stephen C.; Woodard, R. Bryan*	Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding client communication and in anticipation of litigation
	12/6/2011 2:07:18 PM	Phelan, David C.*	Boomgaardt, Rick; Smit, Stephen C.; Bailey, Marshall; Bonn, Nick		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice on D. Phelan regarding communication with consultant
	12/14/2011 1:34:32 PM	Barnard, James	Murphy, Christopher G; Norris, Jonathan*	Jensen, Christopher P.; Bonn, Nick; Boomgaardt, Rick	Attorney-Client	Email chain requesting legal advice from Bonn Norris* regarding client remuneration
	12/7/2011 12:44:30 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice on R. Woodard* regarding transition management fees
	12/19/2011 6:52:09 PM	Newell, Kim	Bonn, Nick; Phelan, David C.*; Smit, Stephen C.; Woodard, R. Bryan*; Coulter, Cuan F.; Bailey, Marshall; Newell, Kim; Carlin, Chris; Oliver,		Attorney-Client; Work-Product	Email chain discussing request for legal advice from Legal Department regarding business controls and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Robin; Scully, Anthony; Hansen, Mark R.; Orton, Simon*; Scott, Iain			
	12/19/2011 1:37:00 PM				Attorney-Client; Work-Product	Attached presentation providing information for the purpose of obtaining legal advice from the Legal Department regarding business costs and in anticipation of litigation
					Attorney-Client; Work-Product	Attached spreadsheet providing information for the purpose of obtaining legal advice from the Legal Department regarding business costs and in anticipation of litigation
					Attorney-Client; Work-Product	Attached spreadsheet providing information for the purpose of obtaining legal advice from the Legal Department regarding business costs and in anticipation of litigation
	12/19/2011 1:44:00 PM				Attorney-Client; Work-Product	Attached spreadsheet providing information for the purpose of obtaining legal advice from the Legal Department regarding business costs and in anticipation of litigation
	11/25/2011	Antonellis, Joseph C.	Phelan, David C.*	Bonn, Nick; Smit,	Attorney-Client; Work-Product	Email chain discussing legal advice of D

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	6:24:03 PM			Stephen C.	Work-Product	Phelan* regarding internal fee analysis re and in anticipation of litigation
	11/25/2011 8:27:36 PM	Smit, Stephen C.	Phelan, David C.*; Bonn, Nick	Rogers, Mike; Carp, Jeffrey*; Woodward, R. Bryan*	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from D. Phelan*, J. Carp*, R. Woodward*, and J. I. regarding internal fee analysis review and anticipation of litigation
	11/25/2011 6:35:16 PM	Antonellis, Joseph C.	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain discussing legal advice of D. Phelan* regarding internal fee analysis re and in anticipation of litigation
	11/27/2011 2:56:36 PM	Phelan, David C.*	Bonn, Nick; Antonellis, Joseph C.; Rogers, Mike	Smit, Stephen C.	Attorney-Client; Work-Product	Email chain rendering legal advice of D. Phelan* regarding internal fee analysis re and in anticipation of litigation
	11/27/2011 7:40:52 PM	Woodard, R. Bryan*	Smit, Stephen C.; Phelan, David C.*; Bonn, Nick	Rogers, Mike; Carp, Jeffrey*	Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of R. Woodward* and D. Phelan* regarding internal fee analysis review and anticipation of litigation
	11/25/2011 6:32:50 PM	Phelan, David C.*	Antonellis, Joseph C.	Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain requesting and rendering legal advice of D. Phelan* regarding internal fee analysis review and in anticipation of litigation
	11/8/2011 8:35:39 AM	Phelan, David C.*	Newell, Kim; Bonn, Nick; Bailey, Marshall; Smit, Stephen C.	Woodard, R. Bryan*; Norris, Jonathan*	Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan* regarding regulatory investigation and in anticipation of litigation
	11/8/2011	Phelan, David C.*	Bonn, Nick		Attorney-Client;	Email reflecting legal advice of D. Phelan*

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11:55:23 AM				Work-Product	regarding regulatory investigation and in anticipation of litigation
	11/9/2011 10:00:38 PM	Phelan, David C.*	Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding regulatory investigation and in anticipation of litigation
	11/14/2011 12:35:17 PM	Smit, Stephen C.	Woodard, R. Bryan*; Bonn, Nick; Bailey, Marshall; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*; Norris, Jonathan*; McKay, Melissa A.*	Boomgaardt, Rick; Martin, Christopher M; Hamilton, Ian	Attorney-Client	Email requesting legal advice from D. Woodard*, D. Phelan*, J. Norris* and McKay* regarding client communication
	11/1/2011 6:52:08 PM	Bailey, Marshall	Bonn, Nick; Smit, Stephen C.		Attorney-Client	Email discussing legal advice of D. Phelan regarding transition management review client communication
	11/9/2011 9:51:00 PM	Phelan, David C.*	Rogers, Mike; Carp, Jeffrey*	Bonn, Nick; Smit, Stephen C.; Woodard, R. Bryan*	Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding regulatory investigation and in anticipation of litigation
	11/15/2011 10:07:40 PM	Smit, Stephen C.	Bonn, Nick; Bailey, Marshall		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding R. Boomgaardt and in anticipation of litigation
	11/15/2011 6:22:12 PM				Attorney-Client; Work-Product	Attached draft notes reflecting legal advice of D. Phelan* regarding R. Boomgaardt and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11/23/2011 1:26:42 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client	Email chain rendering legal advice of R. Woodard*, D. Phelan*, and J. Norris* regarding non-disclosure agreement
	11/23/2011 1:10:41 PM				Attorney-Client	Attached draft letter reflecting legal advice of D. Phelan*, J. Norris*, and R. Woodard* regarding non-disclosure agreement
	11/12/2011 1:01:00 PM				Attorney-Client	Attached document providing information in connection with legal advice of R. Woodard*
	12/21/2011 12:39:58 PM	Mohan, Aditya	Bonn, Nick	Jensen, Christopher P.; Hardy, James A.	Attorney-Client	Email discussing legal advice of D. Phelan* regarding revenue accrual
	12/19/2011 10:02:10 PM	Jensen, Christopher P.	Woodard, R. Bryan*; Bonn, Nick		Attorney-Client	Email requesting legal advice from R. Woodard* regarding client remuneration
	11/2/2011 4:53:20 PM	Woodard, R. Bryan*	Bonn, Nick; Grove, Hannah M.; Phelan, David C.*; Newell, Kim		Attorney-Client	Email reflecting legal advice of R. Woodard* regarding communication with consultants
	12/22/2011 2:56:11 PM	Boomgaardt, Rick	Newell, Kim; Woodard, R. Bryan*	Bailey, Marshall; Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from R. Woodard* regarding transition management review procedures and in anticipation of litigation
	12/22/2011 1:06:12 PM	Smit, Stephen C.	Newell, Kim; Woodard, R. Bryan*	Bailey, Marshall; Boomgaardt, Rick;	Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding transition management

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o.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Bonn, Nick		review procedures and in anticipation of litigation
	11/12/2011 6:34:11 PM	Woodard, R. Bryan*	Bailey, Marshall	Bonn, Nick; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*; Norris, Jonathan*; McKay, Melissa A.*; Smit, Stephen C.	Attorney-Client; Work-Product	Email rendering legal advice of R. Woodard* regarding client communication and in anticipation of litigation
	12/15/2011 1:58:06 PM	Jensen, Christopher P.	Mohan, Aditya; Bonn, Nick		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Phelan* regarding revenue accrual
	12/15/2011 1:33:51 PM	Mohan, Aditya	Jensen, Christopher P.; Bonn, Nick		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Phelan* regarding revenue accrual
	12/15/2011 1:13:37 PM	Jensen, Christopher P.	Bonn, Nick; Mohan, Aditya		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Phelan* regarding revenue accrual
	12/19/2011 2:51:11 PM	Newell, Kim	Phelan, David C.*; Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of D. Phelan* regarding transition management review and in anticipation of litigation
	12/15/2011 1:00:52 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain rendering legal advice of R. Woodard* regarding internal fee analysis and review and client communication and in

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						anticipation of litigation
	12/16/2011 1:10:41 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain rendering legal advice of R. Woodard* regarding transition management review and client communication and in anticipation of litigation
	11/10/2011 8:07:17 AM	Smit, Stephen C.	Woodard, R. Bryan*; Phelan, David C.*; Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of R. Woodard and D. Phelan* regarding client communication and in anticipation of litigation
	11/10/2011 11:22:14 AM	Woodard, R. Bryan*	Smit, Stephen C.; Phelan, David C.*; Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of R. Woodard and D. Phelan* regarding client communication and in anticipation of litigation
	11/10/2011 12:02:23 AM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of R. Woodard and D. Phelan* regarding client communication and in anticipation of litigation
	11/1/2011 2:07:42 PM	Newell, Kim	Woodard, R. Bryan*; Bonn, Nick		Attorney-Client	Email requesting legal advice from R. Woodard* regarding transition management review
	11/11/2011 6:23:17 PM	Woodard, R. Bryan*	Grove, Hannah M.; Phelan, David C.*; Smit, Stephen C.; Smith, Wendy W.; Newell, Kim; Bonn, Nick; Bailey, Marshall; Muncer, Andrew; Davidson, Lucy; Cichon, Carolyn; Curran,	MacDonald, Kelley S.	Attorney-Client; Work-Product	Email rendering legal advice of R. Woodard* regarding client communication and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Alicia A.; Orton, Simon*; Norris, Jonathan*			
	12/19/2011 11:23:35 PM	Jensen, Christopher P.	Bonn, Nick; Woodward, R. Bryan*	Newell, Kim	Attorney-Client	Email chain requesting legal advice from Woodward* regarding client remuneration
	12/20/2011 12:34:20 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Newell, Kim; Bailey, Marshall	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice Phelan* regarding revenue accrual
	12/20/2011 9:51:41 AM	Smit, Stephen C.	Phelan, David C.*; Bonn, Nick	Newell, Kim; Bailey, Marshall	Attorney-Client	Email chain requesting legal advice from Phelan* regarding revenue accrual
	12/18/2011 4:48:40 PM	Woodard, R. Bryan*	Bovardi, Keith A.; Khot, Dharmaraj; Oswald, Matthew	Norris, Jonathan*; Orton, Simon*; Newell, Kim; Bailey, Marshall; Phelan, David C.*; Bonn, Nick; McKay, Melissa A.*	Attorney-Client; Work-Product	Email rendering legal advice of R. Woodard regarding transition management fee review procedures and in anticipation of litigation
	12/18/2011 11:47:00 AM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of R. Woodard* regarding transition management fee review procedures and in anticipation of litigation
	12/18/2011 12:05:18 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; Coulter, Cuan F.; Oliver, Robin; Woodward, R. Bryan*; Norris,		Attorney-Client; Work-Product	Email requesting information for the purpose of rendering legal advice of D. Phelan* regarding transition management fee review and in anticipation of litigation

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Jonathan*; Orton, Simon*; Grove, Hannah M.			
	12/21/2011 11:13:24 PM	Newell, Kim	Woodard, R. Bryan*	Bailey, Marshall; Boomgaardt, Rick; Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from R. Woodard* regarding transition management review procedures and in anticipation of litigation
	12/21/2011 12:02:00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice from R. Woodard* regarding transition management fee review procedures and in anticipation of litigation
	11/23/2011 12:06:31 PM	Boomgaardt, Rick	Norris, Jonathan*	Smit, Stephen C.; Bailey, Marshall; Bonn, Nick	Attorney-Client	Email requesting legal advice from Norris* regarding communications with consultant
	11/23/2011 11:49:34 AM				Attorney-Client	Attached document providing information for the purpose of obtaining legal advice from Norris* regarding communication with consultant
	12/18/2011 2:26:14 PM	Phelan, David C.*	Smit, Stephen C.; Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of David C. Phelan* regarding regulatory investigation in anticipation of litigation
	12/18/2011 2:26:14 PM				Attorney-Client	Attached notes rendering legal advice of David C. Phelan* regarding regulatory investigation in anticipation of litigation

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o.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	12/19/2011 8:47:33 AM	Bailey, Marshall	Boomgaardt, Rick; Bonn, Nick; Norris, Jonathan*	Newell, Kim; Smit, Stephen C.; Woodard, R. Bryan*; Phelan, David C.*	Attorney-Client	Email chain requesting legal advice from Norris*, R. Woodard*, and D. Phelan* regarding client communication
	12/16/2011 5:36:40 PM				Attorney-Client	Attached letter providing information for purpose of obtaining legal advice from F. Woodard*, J. Norris*, and D. Phelan* regarding client communication
	12/20/2011 3:54:21 PM	Newell, Kim	Bonn, Nick		Attorney-Client	Email chain requesting information for purpose of rendering legal advice on R. Woodard* regarding transition management fees
	11/29/2011 12:16:45 PM	Smit, Stephen C.	Bonn, Nick		Attorney-Client	Email chain discussing legal advice of R. Woodard* regarding transition management fees
	12/19/2011 11:23:43 PM	Jensen, Christopher P.	Bonn, Nick	Newell, Kim; Woodard, R. Bryan*	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from F. Woodard* regarding client remuneration
	12/20/2011 12:19:14 PM	Barnard, James	Bonn, Nick; Norris, Jonathan*		Attorney-Client	Email chain requesting legal advice from Norris* regarding transition management fees

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						revenue
	11/29/2011 11:01:00 AM				Attorney-Client; Work-Product	Attached spreadsheet reflecting legal advice from the Legal Department regarding transition management revenue and in anticipation of litigation
	12/18/2011 2:25:47 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Newell, Kim; Bailey, Marshall	Attorney-Client	Email reflecting request for information on purpose of rendering legal advice of D. Phelan* regarding PwC fee analysis review
	12/18/2011 2:25:46 PM				Attorney-Client	Attached draft report providing information for the purpose of obtaining legal advice from the Legal Department regarding PwC fee analysis review
	12/15/2011 1:10:06 PM	Smit, Stephen C.	Bonn, Nick		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from Woodward* and D. Phelan* regarding revenue accrual
	12/18/2011 12:43:41 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; Coulter, Cuan F.; Oliver, Robin; Woodward, R. Bryan*; Norris, Jonathan*; Orton, Simon*; Grove, Hannah M.		Attorney-Client; Work-Product	Email chain rendering legal advice of D. Phelan* regarding transition management revenue and in anticipation of litigation
	12/15/2011	Woodard, R. Bryan*	Bonn, Nick; Smit, Stephen	Newell, Kim	Attorney-Client;	Email chain requesting and rendering legal

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	12:27:58 PM		C.		Work-Product	advice of R. Woodard* regarding transition management fee review and in anticipation of litigation
	12/15/2011 12:33:03 PM	Woodard, R. Bryan*	Bonn, Nick; Smit, Stephen C.	Newell, Kim	Attorney-Client; Work-Product	Email chain rendering legal advice of R. Woodard* regarding transition management fee review and in anticipation of litigation
	12/15/2011 12:47:20 PM	Woodard, R. Bryan*	Bonn, Nick; Smit, Stephen C.	Newell, Kim; Phelan, David C.*; Rogers, Mike	Attorney-Client; Work-Product	Email chain rendering legal advice of R. Woodard* and D. Phelan* regarding transition management fee analysis review and client communication and in anticipation of litigation
	10/19/2011 9:39:19 PM	Woodard, R. Bryan*	Newell, Kim; Smith, Wendy W.; Bonn, Nick; Smit, Stephen C.; Cichon, Carolyn; Bailey, Marshall; Phelan, David C.*		Attorney-Client	Email reflecting legal advice of R. Woodard* regarding client communication
	10/19/2011 5:35:00 PM				Attorney-Client	Attached draft letter reflecting legal advice of R. Woodard* regarding client communication
	12/5/2011 7:23:38 PM	Boomgaardt, Rick	Bonn, Nick; Smit, Stephen C.; Bailey, Marshall		Attorney-Client	Email providing information for the purpose of obtaining legal advice from Legal Department regarding client communication
	12/20/2011 9:02:15 AM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Newell, Kim; Bailey, Marshall	Attorney-Client	Email requesting information for the purpose of rendering legal advice of D. Phelan* regarding employee disciplinary process and revenue accrual

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	10/19/2011 9:07:23 PM	Woodard, R. Bryan*	Newell, Kim; Smith, Wendy W.; Bonn, Nick; Smit, Stephen C.; Cichon, Carolyn; Bailey, Marshall; Phelan, David C.*		Attorney-Client	Email rendering legal advice of R. Woodard* regarding client communication
	10/19/2011 4:53:00 PM				Attorney-Client	Attached draft letter reflecting legal advice of R. Woodard* regarding client communication
	12/15/2011 1:11:58 PM	Bonn, Nick	Jensen, Christopher P.; Mohan, Aditya		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from R. Woodard* and D. Phelan* regarding review accrual
	12/22/2011 12:10:20 AM	Bonn, Nick	Carlin, Chris		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding transition management controls review and in anticipation of litigation
	12/15/2011 12:33:01 PM				Attorney-Client; Work-Product	Attached notes rendering legal advice of R. Woodard* regarding transition management controls review and in anticipation of litigation
	12/15/2011 1:02:29 PM	Bonn, Nick	Newell, Kim; Smit, Stephen C.		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding internal fee analysis, review and client communication and in anticipation of litigation
	12/7/2011 12:54:38 PM	Bonn, Nick	Smit, Stephen C.		Attorney-Client	Email chain discussing legal advice of R. Woodard* regarding transition management

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						and client communication
	11/30/2011 7:33:23 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from I Phelan* regarding client remuneration
	12/13/2011 7:51:44 PM	Bonn, Nick	Newell, Kim		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from I Department regarding client remuneration
	11/9/2011 9:54:32 PM	Bonn, Nick	Newell, Kim		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding regulatory investigation and in anticipation of litigation
	12/15/2011 3:23:19 AM	Bonn, Nick	Smit, Stephen C.	Newell, Kim; Woodward, R. Bryan*	Attorney-Client; Work-Product	Email providing information for the purpose of obtaining legal advice from R. Woodward regarding transition management fee review and in anticipation of litigation
	11/16/2011 2:26:03 PM	Bonn, Nick	Smit, Stephen C.; Phelan, David C.*		Attorney-Client	Email requesting legal advice from D. Phelan regarding client communication
	12/22/2011 1:10:58 PM	Bonn, Nick	Smit, Stephen C.; Woodward, R. Bryan*		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodward* regarding transition management review procedures and in anticipation of litigation
	12/18/2011 12:40:34 PM	Bonn, Nick	Phelan, David C.*; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; Coulter, Cuan F.; Oliver, Robin;		Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from I Phelan*, R. Woodward*, J. Norris*, and counsel regarding transition management

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Woodard, R. Bryan*; Norris, Jonathan*; Orton, Simon*; Grove, Hannah M.			review and in anticipation of litigation
	11/18/2011 4:51:49 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client; Work-Product	Email chain reflecting legal advice of D. Phelan* regarding regulatory investigation in anticipation of litigation
	11/23/2011 1:57:13 PM	Bonn, Nick	Bailey, Marshall; Woodard, R. Bryan*; Norris, Jonathan*; Smit, Stephen C.	Phelan, David C.*	Attorney-Client	Email chain requesting and rendering legal advice of Legal Department and outside counsel regarding non-disclosure agreement
	12/15/2011 12:52:39 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client; Work-Product	Email chain discussing legal advice of R. Woodard* regarding internal fee analysis, review and client communication and in anticipation of litigation
	12/15/2011 12:31:23 PM	Bonn, Nick	Woodard, R. Bryan*; Smit, Stephen C.	Newell, Kim	Attorney-Client; Work-Product	Email chain requesting legal advice from Woodward* regarding transition management review and in anticipation of litigation
	12/15/2011 12:37:12 PM	Bonn, Nick	Woodard, R. Bryan*; Smit, Stephen C.	Newell, Kim; Phelan, David C.*; Rogers, Mike	Attorney-Client; Work-Product	Email chain requesting legal advice from Woodward* and D. Phelan* regarding transition management fee review and in anticipation of litigation
	12/6/2011 9:02:12 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain requesting legal advice from Woodward* regarding transition management

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						and client remuneration
	12/27/2011 11:58:49 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email chain requesting legal advice from Phelan* regarding client communications
	12/7/2011 12:52:41 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain discussing legal advice of R. Woodard* regarding transition management and client communication
	11/16/2011 11:27:22 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email requesting and rendering legal advice of D. Phelan*, J. Carp*, R. Woodard*, J. N. and outside counsel regarding client communication
	11/17/2011 2:42:45 PM	Bonn, Nick	Phelan, David C.*; Antonellis, Joseph C.; Smit, Stephen C.; Bailey, Marshall		Attorney-Client	Email rendering legal advice of D. Phelan* regarding client communication
	12/6/2011 11:52:17 AM	Bonn, Nick	Woodard, R. Bryan*	Smit, Stephen C.	Attorney-Client	Email chain requesting and rendering legal advice of J. Norris*, R. Woodard*, and J. Phelan* regarding client remuneration and client communications
	12/4/2011 8:09:53 PM	Bonn, Nick	Phelan, David C.*; Smit, Stephen C.	Antonellis, Joseph C.; Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Attorney-Client	Email chain discussing legal advice of D. Phelan* regarding internal fee analysis and client remuneration
	12/4/2011 8:12:38 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain discussing legal advice of R. Woodard* regarding internal fee analysis

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
						review and client remuneration
	12/4/2011 8:11:24 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain discussing legal advice of D. Smit, Phelan* regarding internal fee analysis and client remuneration
	12/6/2011 12:00:30 PM	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from F. Woodard* regarding client remuneration
	12/14/2011 2:30:40 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of D. I. regarding client remuneration
	12/14/2011 3:31:00 PM	Bonn, Nick	Phelan, David C.*; Smit, Stephen C.		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from D. I. Phelan* regarding client remuneration
	12/19/2011 10:43:55 PM	Bonn, Nick	Jensen, Christopher P.; Woodard, R. Bryan*	Newell, Kim	Attorney-Client	Email chain discussing request for legal advice from R. Woodard* regarding client remuneration
	11/25/2011 6:54:20 PM	Bonn, Nick	Phelan, David C.*; Smit, Stephen C.	Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from D. I. Phelan* regarding internal fee analysis and in anticipation of litigation
	11/27/2011 1:33:14 PM	Bonn, Nick	Antonellis, Joseph C.; Phelan, David C.*; Rogers, Mike	Smit, Stephen C.	Attorney-Client; Work-Product	Email chain discussing legal advice of D. I. Phelan* regarding internal fee analysis and in anticipation of litigation
	11/8/2011	Bonn, Nick	Phelan, David C.*		Attorney-Client;	Email providing information for the purpose of

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11:47:00 AM				Work-Product	obtaining legal advice from D. Phelan* regarding regulatory investigation and in anticipation of litigation
	12/1/2011 11:42:12 PM	Bonn, Nick	Woodard, R. Bryan*; Smit, Stephen C.		Attorney-Client	Email chain discussing legal advice of R. Woodard* regarding internal fee analysis review
	11/29/2011 5:05:16 PM	Bonn, Nick	Murphy, Christopher G	Smit, Stephen C.; Bailey, Marshall; Hardy, James A.; Jensen, Christopher P.; Woodard, R. Bryan*	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from F. Woodard* regarding revenue accrual
	11/9/2011 9:54:15 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client; Work-Product	Email requesting legal advice from D. Phelan* regarding regulatory investigation and in anticipation of litigation
	12/20/2011 11:08:39 AM	Bonn, Nick	Smit, Stephen C.; Phelan, David C.*	Newell, Kim; Bailey, Marshall	Attorney-Client	Email providing information for the purpose of obtaining legal advice from D. Phelan* regarding revenue accrual
	10/18/2011 10:49:27 PM	Woodard, R. Bryan*	Bonn, Nick; Puth, David W.; Hardy, James A.		Attorney-Client	Email reflecting legal advice of R. Woodard* regarding legal fees
	10/17/2011 6:49:42 AM	Balogh, Justin	Newell, Kim; Woodard, R. Bryan*	Bonn, Nick; Woodward, James	Attorney-Client	Email requesting legal advice from R. Woodard* regarding client communications
	10/17/2011 3:33:37 AM				Attorney-Client	Attached draft letter providing information for the purpose of obtaining legal advice from R. Woodard* regarding client communications

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	10/15/2011 11:06:55 AM	Phelan, David C.*	Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding regulatory investigation and in anticipation of litigation
	10/19/2011 2:10:34 AM	Puth, David W.	Woodard, R. Bryan*; Bonn, Nick; Hardy, James A.		Attorney-Client	Email rendering legal advice of R. Woodard regarding legal fees
	10/17/2011 10:36:24 PM	Newell, Kim	Woodard, R. Bryan*	Bonn, Nick	Attorney-Client	Email requesting legal advice from R. Woodard* regarding client communications
	10/17/2011 10:33:33 PM				Attorney-Client	Attached draft letter providing information the purpose of obtaining legal advice from Woodard* regarding client communications
	10/17/2011 6:31:00 PM				Attorney-Client	Attached draft letter providing information the purpose of obtaining legal advice from Woodard* regarding client communications
	10/13/2011 9:33:49 PM	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email requesting legal advice from D. Phelan regarding response to press coverage
	10/10/2011 11:43:03 AM	Bonn, Nick	Woodard, R. Bryan*; Smit, Stephen C.; Paton, Kathy; Phelan, David C.*; Oliver, Robin; Orton, Simon*; Squire, Nicholas	Liggett, Matthew*; Greene, Liz; Bailey, Marshall	Attorney-Client	Email requesting legal advice from R. Woodard*, D. Phelan* and outside counsel regarding disciplinary hearing
	10/14/2011	Bonn, Nick	Phelan, David C.*		Attorney-Client;	Email requesting legal advice from D. Phelan

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	9:25:06 PM				Work-Product	regarding regulatory investigation and in anticipation of litigation
	10/19/2011 9:06:36 AM	Bonn, Nick	Puth, David W.		Attorney-Client	Email reflecting legal advice of R. Woodward regarding legal fees
	10/14/2011 2:27:38 PM	Phelan, David C.*	Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan regarding Royal Mail transition and in anticipation of litigation
	10/13/2011 2:27:47 PM	Phelan, David C.*	Smit, Stephen C.; Bonn, Nick		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phelan Woodward* and outside counsel regarding to the FSA and in anticipation of litigation
	10/13/2011 2:27:47 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal advice of D. Phelan*, R. Woodward* and outside counsel regarding letter to the FSA and in anticipation of litigation
	10/13/2011 9:28:32 PM	Jensen, Christopher P.	Audit-Administration	Bonn, Nick; Woodward, R. Bryan*	Attorney-Client	Email requesting legal advice from R. Woodward* regarding PwC engagement
	10/13/2011 2:26:00 PM				Attorney-Client	Attached document providing information for the purpose of obtaining legal advice from Woodward* regarding PwC engagement
					Attorney-Client	Attached email reflecting legal advice of Woodward* regarding PwC engagement

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	10/10/2011 4:20:00 PM				Attorney-Client	Attached draft letter providing information to the purpose of obtaining legal advice from Woodward* regarding PwC engagement
	10/13/2011 11:35:54 AM	Jensen, Christopher P.	Bonn, Nick		Attorney-Client	Email discussing legal advice of R. Woodward regarding PwC engagement
	10/10/2011 4:15:00 PM				Attorney-Client	Attached document providing information to the purpose of obtaining legal advice from Woodward* regarding PwC engagement
	10/10/2011 8:20:14 PM				Attorney-Client	Attached draft letter providing information to the purpose of obtaining legal advice from Woodward* regarding PwC engagement
	10/14/2011 5:09:54 PM	Phelan, David C.*	Carp, Jeffrey*; Antonellis, Joseph C.; Puth, David W.; Coulter, Cuan F.; Smit, Stephen C.; Bonn, Nick		Attorney-Client; Work-Product	Email providing legal advice of D. Phelan regarding letter to the FSA and in anticipation of litigation
	10/14/2011 5:09:53 PM				Attorney-Client; Work-Product	Attached letter reflecting legal advice of Phelan* regarding letter to the FSA and anticipation of litigation
	10/10/2011 8:23:13 PM	Woodard, R. Bryan*	Jensen, Christopher P.	Phelan, David C.*; Bonn, Nick; Campo,	Attorney-Client	Email rendering legal advice of R. Woodward regarding PwC engagement

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Cynthia		
	10/10/2011 4:15:00 PM				Attorney-Client	Attached document reflecting legal advice from R. Woodard* regarding PwC engagement
	10/10/2011 8:20:14 PM				Attorney-Client	Attached draft letter reflecting legal advice from R. Woodard* regarding PwC engagement
	10/12/2011 11:32:26 PM	Woodard, R. Bryan*	Bonn, Nick	Puth, David W.; Phelan, David C.*	Attorney-Client	Email rendering legal advice of R. Woodard* regarding PwC engagement
	10/7/2011 7:22:22 AM	Phelan, David C.*	Smit, Stephen C.; Woodard, R. Bryan*	Carp, Jeffrey*; Grove, Hannah M.; Puth, David W.; Bonn, Nick	Attorney-Client	Email reflecting legal advice of D. Phelan* regarding response to press coverage
	10/13/2011 3:09:27 PM	Smit, Stephen C.	Phelan, David C.*; Bonn, Nick		Attorney-Client; Work-Product	Email requesting legal advice from D. Phelan* regarding letter to the FSA and in anticipation of litigation
	10/13/2011 4:08:00 PM				Attorney-Client; Work-Product	Attached draft letter providing information for the purpose of obtaining legal advice from Phelan* regarding letter to the FSA and in anticipation of litigation
	10/6/2011 8:36:24 PM	Smit, Stephen C.	Bonn, Nick		Attorney-Client	Email reflecting legal advice of outside counsel to and R. Woodard* regarding response to coverage
	10/10/2011 4:59:16 PM	Bailey, Marshall	Smit, Stephen C.; Phelan, David C.*; Norris,	Puth, David W.; Bonn, Nick	Attorney-Client	Email requesting legal advice from D. Phelan* and J. Norris* regarding response to press coverage

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Jonathan*; Paton, Kathy			coverage
	10/8/2011 5:56:00 PM				Attorney-Client	Attached document providing information for the purpose of obtaining legal advice from Phelan* and J. Norris* regarding request for press coverage
	10/6/2011 8:43:02 PM	Curran, Alicia A.	Grove, Hannah M.; Woodard, R. Bryan*; Bonn, Nick; Puth, David W.; Phelan, David C.*; Smit, Stephen C.	Cichon, Carolyn; Smith, Wendy W.; Muncer, Andrew; Davidson, Lucy	Attorney-Client	Email requesting legal advice from Woodard* and D. Phelan* regarding request for press coverage
	10/6/2011 9:35:18 PM	Curran, Alicia A.	Hooley, Joseph; Antonellis, Joseph C.; Carp, Jeffrey*; Klinck, John L.; O'Leary, David C.; Phalen, James S.; Powers, Scott F.; Puth, David W.; Quirk, Alison A.; Resch, Edward J.; Rogers, Mike; Kuritzkes, Andrew	Atkinson, Tracy; Brady, Kevin; Grove, Hannah M.; Kream, Jeremy*; MacDonald, Kelley S.; Phelan, David C.*; Smith, Wendy W.; Stigler, Hollye F.; Roberts, Arlene C.; Gavell, Stefan M.; Larkin, John G.; Macintosh, Kylie; Sakakibara, Yu; Dedios, Mireille M.; McGehee, Marie; Clapp, Audrey	Attorney-Client	Email reflecting legal advice of J. Carp* regarding request for press coverage

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				L.; Power, Elizabeth; Roberts, Arlene C.; Davidson, Lucy; Woodward, R. Bryan*; Cichon, Carolyn; Bonn, Nick; Smit, Stephen C.		
	10/14/2011 5:27:07 PM	Paton, Kathy	Phelan, David C.*; Puth, David W.; Smit, Stephen C.; Carp, Jeffrey*; Quirk, Alison A.; Grove, Hannah M.; O'Leary, David C.; Coulter, Cuan F.; Bonn, Nick	Liggett, Matthew*; Greene, Liz; Muncer, Andrew; Norris, Jonathan*; Bailey, Marshall; Fichtner, Paul; Squire, Nicholas; Orton, Simon*; Bourdine, Anne Elizabeth; Woodward, R. Bryan*; Oliver, Robin	Attorney-Client	Email requesting legal advice from D. P. Phelan, David C. Smit, Stephen C. Woodward*, R. Woodward* and on J. Carp*, J. Norris*, R. Woodward* and on counsel regarding disciplinary hearing
	10/14/2011 6:22:00 PM				Attorney-Client	Attached letter providing information for purpose of obtaining legal advice from D. P. Phelan*, J. Carp*, J. Norris*, R. Woodward* outside counsel regarding disciplinary hearing
	10/10/2011	Paton, Kathy	Bonn, Nick; Woodward, R.	Liggett, Matthew*;	Attorney-Client	Email providing information for the purpose of

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	11:54:52 AM		Bryan*; Smit, Stephen C.; Phelan, David C.*; Oliver, Robin	Greene, Liz; Bailey, Marshall; Orton, Simon*; Squire, Nicholas		obtaining legal advice from R. Woodard Phelan* and outside counsel regarding disciplinary hearing
	10/10/2011 3:16:45 PM	Smit, Stephen C.	Paton, Kathy	Bonn, Nick; Woodard, R. Bryan*; Phelan, David C.*; Oliver, Robin; Liggett, Matthew*; Greene, Liz; Bailey, Marshall; Orton, Simon*; Squire, Nicholas	Attorney-Client	Email providing information for the purpose of obtaining legal advice from R. Woodard Phelan* and outside counsel regarding disciplinary hearing
	10/10/2011 12:09:22 AM	Woodard, R. Bryan*	Smit, Stephen C.; Paton, Kathy; Phelan, David C.*; Oliver, Robin; Orton, Simon*; Squire, Nicholas	Liggett, Matthew*; Greene, Liz; Bailey, Marshall; Bonn, Nick	Attorney-Client	Email rendering legal advice of R. Woodard Phelan* regarding disciplinary hearing
	10/18/2011 11:25:33 AM	Woodard, R. Bryan*	Newell, Kim	Bonn, Nick	Attorney-Client	Email reflecting legal advice of R. Woodard Phelan* regarding client communication
	10/17/2011 4:37:00 PM	O'Sullivan, Alan	Davidson, Lucy; Bonn, Nick; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; Woodard, R. Bryan*; Camey, Gerard;	Cichon, Carolyn; Grove, Hannah M.; Muncer, Andrew; Smith, Wendy W.	Attorney-Client	Email reflecting request for legal advice from R. Woodard* regarding response to subpoenas for coverage

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No.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
	10/6/2011 10:05:00 PM	Grove, Hannah M.	Verrengia, Peter Curran, Alicia A.; Hooley, Joseph; Antonellis, Joseph C.; Carp, Jeffrey*; Klinck, John L.; O'Leary, David C.; Phalen, James S.; Powers, Scott F.; Puth, David W.; Quirk, Alison A.; Resch, Edward J.; Rogers, Mike; Kuritzkes, Andrew	Atkinson, Tracy; Brady, Kevin; Kream, Jeremy*; MacDonald, Kelley S.; Phelan, David C.*; Smith, Wendy W.; Stigler, Hollye F.; Roberts, Arlene C.; Gavell, Stefan M.; Larkin, John G.; Macintosh, Kylie; Sakakibara, Yu; Dedios, Mireille M.; McGehee, Marie; Clapp, Audrey L.; Power, Elizabeth; Roberts, Arlene C.; Davidson, Lucy; Woodward, R. Bryan*; Cichon, Carolyn; Bonn, Nick; Smit, Stephen C.	Attorney-Client	Email reflecting legal advice of J. Carp* Phelan* and R. Woodward* regarding press to press coverage

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_0356	SS_SEC_MCL_0357	11/08/2011 12:51:55 PM	Rogers, Mike	Bonn, Nick		Attorney-Client; Work-Product	Redacted email reflecting legal advice of R. Woodward* and D. Phelan* regarding regulatory inquiry and transition management review created in anticipation of litigation.
SS_SEC_MCL_1000	SS_SEC_MCL_1003	11/09/2011 5:28:01 PM	Newell, Kim	Bonn, Nick		Attorney-Client	Redacted email requesting a legal review and reflecting legal advice of D. Phelan* and R. Woodward* regarding communication, transition management review, and regulatory inquiry.
SS_SEC_MCL_1005	SS_SEC_MCL_1009	11/02/2011 4:55:32 PM	Grove, Hannah M.	Bonn, Nick; Phelan, David C.*; Woodard, Bryan R.*; Newell, Kim	Cichon, Carolyn; Muncer, Andrew; Davidson, Lucy	Attorney-Client	Redacted email reflecting legal advice of D. Phelan* and R. Woodward* regarding communication with Analytics.
SS_SEC_MCL_1011	SS_SEC_MCL_1011	12/15/2011 11:44:05 AM	Newell, Kim	Bonn, Nick		Attorney-Client	Redacted email requesting legal advice of R. Woodward* regarding client communication and transition management review.
SS_SEC_MCL_1013	SS_SEC_MCL_1013	12/15/2011 12:39:23 PM	Rogers, Mike	Bonn, Nick		Attorney-Client	Redacted email requesting legal advice of R. Woodward* and Phelan* regarding client communication and transition management review.

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_1014	SS_SEC_MCL_1014	12/14/2011 2:50:55 PM	Hickey, Kristine	Bonn, Nick		Attorney-Client	Redacted email requesting information for the purpose of providing legal advice (S.D. regarding client communications)
SS_SEC_MCL_1029	SS_SEC_MCL_1033	12/22/2011 3:05:22 PM	Bailey, Marshall	Bonn, Nick; Smit, Stephen C.; Newell, Kim		Attorney-Client	Redacted email reflecting legal advice of Legal Department regarding remediation and communication
SS_SEC_MCL_1043	SS_SEC_MCL_1045	11/03/2011 5:47:59 PM	Bailey, Marshall	Ringrow, Rodney J.; Smit, Stephen C.; Phelan, David C.*; Bonn, Nick		Attorney-Client	Redacted email reflecting legal advice from D. Phelan regarding client communications
SS_SEC_MCL_1050	SS_SEC_MCL_1051	11/09/2011 10:00:21 PM	Newell, Kim	Bonn, Nick		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* regarding inquiry, transition management review, and client communications
SS_SEC_MCL_1060	SS_SEC_MCL_1061	12/21/2011 1:49:33 PM	Newell, Kim	Bonn, Nick		Attorney-Client; Work-Product	Redacted email reflecting legal advice of outside counsel regarding enhanced controls, created in anticipation of litigation
SS_SEC_MCL_1062	SS_SEC_MCL_1063	12/15/2011 12:33:01 PM				Attorney-Client	Redacted draft notes reflecting advice of outside counsel regarding enhanced controls, created in anticipation of litigation

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_1064	SS_SEC_MCL_1066	10/24/2011 8:54:31 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client	Redacted email providing and requesting legal advice of counsel, J. Carp*, R. Woodard*, and D. Phelan* regarding employment termination
SS_SEC_MCL_1074	SS_SEC_MCL_1075	10/19/2011 10:16:51 PM	Newell, Kim	Bonn, Nick		Attorney-Client; Work-Product	Redacted email reflecting legal advice of R. Woodard* regarding communication with clients regulatory inquiry, and an inquiry of litigation
SS_SEC_MCL_1077	SS_SEC_MCL_1078	10/19/2011 5:35:00 PM				Attorney-Client; Work-Product	Redacted draft letter reflecting legal advice of R. Woodard* regarding communication with clients regulatory inquiry, and an inquiry of litigation
SS_SEC_MCL_1079	SS_SEC_MCL_1079	11/15/2011 3:02:12 PM	Curran, Alicia	Phelan, David C.*; Woodard, R. Bryan*; Bonn, Nick; Newell, Kim; Smit, Stephen C.; Bailey, Marshall; Smith, Wendy W.; Grove, Hannah M.; Muncer, Andrew;		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* and R. Woodard* regarding communication with Inalytics

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_1088	SS_SEC_MCL_1092	10/25/2011 6:25:46 AM	Woodard, James	Davidson, Lucy Newell, Kim	Balogh, Justin; Bonn, Nick	Attorney-Client	Redacted email requesting a providing legal advice of R. Woodard* regarding client communication
SS_SEC_MCL_1102	SS_SEC_MCL_1103	12/20/2011 3:29:59 PM	Newell, Kim	Murphy, Christopher; Bonn, Nick; Barnard, James		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* regarding client communication and employment discipline
SS_SEC_MCL_1114	SS_SEC_MCL_1115	11/23/2011 12:55:30 PM	Bonn, Nick	Phelan, David C.*; Woodard, R. Bryan*		Attorney-Client	Redacted email requesting legal advice of J. Norris*, D. Phelan, R. Woodard* regarding communication with Analytics
SS_SEC_MCL_1118	SS_SEC_MCL_1119	11/23/2011 1:09:42 PM	Bonn, Nick	Grove, Hannah M.		Attorney-Client	Redacted email requesting legal advice of J. Norris* and a request for legal advice of L. Department regarding communication with Analytics
SS_SEC_MCL_1196	SS_SEC_MCL_1196	12/06/2011 11:54:05 AM	Bonn, Nick	Johansen, Harry G.		Attorney-Client	Redacted email reflecting legal advice of J. Norris*, D. Woodard* regarding communication
SS_SEC_MCL_1198	SS_SEC_MCL_1199	12/02/2011 3:24:00				Attorney-Client	Redacted draft talking point reflecting request for legal advice

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
		PM					J. Norris*, D. Phelan*, and I. Woodward* regarding client communication
SS_SEC_MCL_1207	SS_SEC_MCL_1207	11/29/2011 1:40:05 PM	Bonn, Nick	Murphy, Christopher G.		Attorney-Client	Redacted email reflecting legal advice of R. Woodward* regarding transition and client management review
SS_SEC_MCL_1209	SS_SEC_MCL_1211	11/09/2011 7:15:00 PM				Attorney-Client; Work-Product	Redacted spreadsheet reflecting legal advice of R. Woodward* regarding KIA transition and client remuneration
SS_SEC_MCL_1212	SS_SEC_MCL_1213	10/28/2011 3:05:34 PM	Bonn, Nick	Puth, David W.		Attorney-Client	Redacted email reflecting legal advice of outside counsel
SS_SEC_MCL_1215	SS_SEC_MCL_1216	12/19/2011 11:40:02 PM	Bonn, Nick	Barnard, James	Newell, Kim	Attorney-Client	Redacted email requesting legal advice of R. Woodward* regarding client remuneration and development adjustments
SS_SEC_MCL_1241	SS_SEC_MCL_1247	12/28/2011 1:59:14 AM	Bonn, Nick	Newell, Kim		Attorney-Client	Redacted email requesting a providing legal advice of D. Phelan* and J. Norris* regarding transition and client communication
SS_SEC_MCL_1261	SS_SEC_MCL_1264	12/22/2011 1:59:14 PM	Bonn, Nick	Smit, Stephen C.; Newell, Kim; Boomgaardt, Rick;		Attorney-Client	Redacted email reflecting legal advice of Legal Department regarding remuneration and

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_1272	SS_SEC_MCL_1275	11/23/2011 12:58:53 PM	Bonn, Nick	Bailey, Marshall Smit, Stephen C.	Phelan, David C.*; Bailey, Marshall	Attorney-Client	communication Redacted email requesting legal advice of D. Phelan* regarding transition management review client communication
SS_SEC_MCL_1277	SS_SEC_MCL_1278	12/21/2011 10:10:33 PM	Bonn, Nick	Newell, Kim		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* regarding client communication and employment discipline
SS_SEC_MCL_1282	SS_SEC_MCL_1283	12/20/2011 11:47:56 PM	Bonn, Nick	Smit, Stephen		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* regarding client communication and employment discipline
SS_SEC_MCL_1292	SS_SEC_MCL_1299	12/13/2011 2:33:31 AM				Attorney-Client	Redacted draft presentation legal advice of R. Woodward* regarding BlockCross transaction reflecting legal advice of counsel regarding regulatory and approval
SS_SEC_MCL_1301	SS_SEC_MCL_1311	12/12/2011 9:25:00 PM				Attorney-Client	Redacted draft presentation legal advice of Legal Department regarding transition management remediation and BlockCross
SS_SEC_MCL_1509	SS_SEC_MCL_1512	11/09/2011	Bonn, Nick	Newell, Kim;		Attorney-	Redacted email requesting legal

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
		8:05:09 PM		Carlin; Chris		Client	advice of D. Phelan* and R. Woodward*, and reflecting legal advice of D. Phelan* regarding client communication, transition management review, and regulatory inquiry
SS_SEC_MCL_1526	SS_SEC_MCL_1527	12/08/2011 9:35:01 PM	Carlin, Chris	Bonn, Nick		Attorney-Client	Redacted email reflecting legal advice of M. McKay*, D. Phelan*, and Woodward* regarding transition management review
SS_SEC_MCL_1550	SS_SEC_MCL_1550	12/07/2011 4:54:50 PM	Bonn, Nick	Ringrow, Rodney J.	Smit, Stephen C.	Attorney-Client	Redacted email reflecting legal advice of R. Woodward* Phelan* regarding KLA transition and client communication
SS_SEC_MCL_331	SS_SEC_MCL_333	10/19/2011 2:24:28 PM	Woodard, R. Bryan*	Leaden, Mark; Bailey, Marshall; Scully, Anthony; Sokolova, Denisa	Bonn, Nick	Attorney-Client	Redacted email requesting a providing legal advice of Woodward* regarding transition management review
SS_SEC_MCL_348	SS_SEC_MCL_353	12/29/2011 11:09:37 AM	Smit, Stephen	Fernando, Vijay; Woodward, R. Bryan*; Norris, Jonathan*; Bailey, Marshall; Waller, Patrick		Attorney-Client	Redacted email requesting a providing legal advice of Woodward* regarding remuneration

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_457	SS_SEC_MCL_480	12/16/2011 3:14:00 PM				Attorney-Client	Redacted draft presentation discussing legal advice of L. Department regarding regulatory investigation and enhanced
SS_SEC_MCL_490	SS_SEC_MCL_551	12/14/2011 6:00:00 PM				Attorney-Client	Redacted draft presentation legal advice of Legal Department regarding regulatory inquiry transition management service
SS_SEC_MCL_552	SS_SEC_MCL_554	11/23/2011 10:56:15 PM	Bailey, Marshall	Bonn, Nick; Jensen, Christopher P.	Smit, Stephan C.	Attorney-Client	Redacted email reflecting legal of outside counsel and legal Department regarding client remuneration
SS_SEC_MCL_560	SS_SEC_MCL_625	12/13/2011 3:24:00 PM				Attorney-Client	Redacted draft presentation legal advice of R. Woodward* regarding BlockCross trading reflecting legal advice of outside counsel regarding regulatory
SS_SEC_MCL_629	SS_SEC_MCL_629	12/08/2011 12:17:58 PM	Bonn, Nick	Bonn, Nick		Attorney-Client	Redacted email reflecting legal advice of J. Norris* and Woodward* regarding client communication
SS_SEC_MCL_631	SS_SEC_MCL_634	11/09/2011 4:19:51 PM	Bailey, Marshall	Newell, Kim; Smit, Stephen C.; Bonn, Nick		Attorney-Client	Redacted email requesting legal advice of D. Phelan* and B. Woodward*, and reflecting legal

enotes Attorney Name

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privileged Information
SS_SEC_MCL_635	SS_SEC_MCL_638	11/09/2011 4:06:22 PM	Newell, Kim	Bailey, Marshall; Smit, Stephen C.; Bonn, Nick			of D. Phelan* regarding discipline communication, transition management review, and request inquiry
SS_SEC_MCL_649	SS_SEC_MCL_650	12/20/2011 12:39:53 PM	Murphy, Christopher	Bonn, Nick; Barnard, James	Newell, Kim	Attorney-Client	Redacted email requesting legal advice of D. Phelan* and B. Woodard*, and reflecting legal advice of D. Phelan* regarding discipline communication, transition management review, and request inquiry
SS_SEC_MCL_998	SS_SEC_MCL_999	12/20/2011 3:41:57 PM	Newell, Kim	Bonn, Nick		Attorney-Client	Redacted email reflecting legal advice of D. Phelan* regarding discipline communication and employment

enotes Attorney Name

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